

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

62P 1752  
Docket No.  
10541-1161

In Re Application Of: Houliang Li et al.

Serial No.  
09/993,251

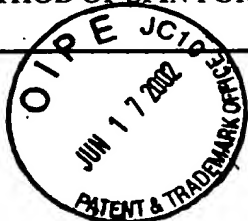
Filing Date  
November 19, 2001

Examiner

Group Art Unit  
1754

Title:

**A METHOD OF SPIN FORMING OBLIQUE END CONES OF A CATALYTIC CONVERTER**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
10541-1161

In Re Application Of: Houliang Li et al.

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09/993,251

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Title:

A METHOD OF SPRING FORMING OBLIQUE END CONES OF A CATALYTIC CONVERTER

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1500 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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*Sujatha Subramaniam*

Signature of Person Mailing Correspondence

Sujatha Subramaniam

Typed or Printed Name of Person Mailing Correspondence

*Sujatha Subramaniam*  
Signature

Dated: JUNE 10, 2002

Sujatha Subramaniam  
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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No. **#3**  
10541-1161

In Re Application Of: **Houliang Li et al.**

Serial No.  
**09/993,251**

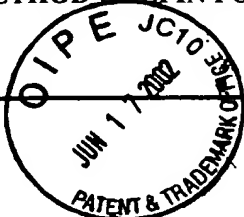
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Group Art Unit  
**1754**

Invention:

**A METHOD OF SPIN FORMING OBLIQUE END CONES OF A CATALYTIC CONVERTER**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature

Dated: **JUNE 10, 2002**

**Sujatha Subramaniam**  
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Signature of Person Mailing Correspondence

**Sujatha Subramaniam**

Typed or Printed Name of Person Mailing Correspondence

*(Use several sheets if necessary)*

10541-116

**09/993,251**

Applicant(s)

Houliang Li et al.

Filing Date

**November 19, 2001**

### Group Art Unit

1754

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 PATENT & TRADE MARK

## PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

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**EXAMINER**

DATE CONSIDERED

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.